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## NOTICE OF ALLOWANCE AND FEE(S) DUE

23377 7590 08/19/2010 WOODCOCK WASHBURN LLP CIRA CENTRE, 12TH FLOOR 2929 ARCH STREET PHILADELPHIA, PA 19104-2891 EXAMINIER

TOTH, KAREN E

ART UNIT PAPER NUMBER

3735 DATE MAILED: 08/19/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/826,567	04/16/2004	Ryszard M. Lec	DXU-0007	2115

TITLE OF INVENTION: ACOUSTIC BLOOD ANALYZER FOR ASSESSING BLOOD PROPERTIES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	11/19/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1:313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FIEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

appropriate. All further indicated unless corrects maintenance fee notifica	correspondence includir ed below or directed oth	ng the Patent, advance on herwise in Block 1, by (a	rders and notification of r a) specifying a new corres	naintenance fees w pondence address;	ill be and/or	mailed to the current (b) indicating a sepa	correspondence address trate "FEE ADDRESS"	s as
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APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.	
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Advance Order -	# of Copies		The Director is hereby overpayment, to Depo	authorized to charg sit Account Numbe	ge the i	required fee(s), any de enclose a	ficiency, or credit any n extra copy of this fort	n).
5. Change in Entity Sta  a. Applicant claim	tus (from status indicated is SMALL ENTITY statu		☐ b. Applicant is no lon	ger claiming SMAL	L EN	FITY status. Sec 37 Cl	FR 1.27(g)(2).	
NOTE: The Issue Fee an interest as shown by the	d Publication Fee (if req records of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than to Office.	he applicant; a regis	tered :	attorney or agent; or th	ne assignee or other part	y in
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	23377 7.	590 08/19/2010		EXAMINER		
	WOODCOCK W	WOODCOCK WASHBURN LLP TOTH, KAREN E			AREN E	
	CIRA CENTRE, 12TH FLOOR			ART UNIT	PAPER NUMBER	
	2929 ARCH STREET			3735		
	PHILADELPHIA, PA 19104-2891					

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 717 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 717 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

## Application No. Applicant(s) 10/826 567 LEC ET AL. Notice of Allowability Examiner Art Unit KAREN E TOTH 3735 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. 1. This communication is responsive to the Request for Continued Examination filed 25 June 2010, The allowed claim(s) is/are 33 and 35-69. 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) $\square$ All b) ☐ Some\* c) ☐ None of the: 1. T Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). \* Certified copies not received: \_\_\_\_\_. Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1. | Notice of References Cited (PTO-892) 5. Notice of Informal Patent Application Notice of Draftperson's Patent Drawing Review (PTO-948) Interview Summary (PTO-413), Paper No./Mail Date Information Disclosure Statements (PTO/SB/08). 7. T Examiner's Amendment/Comment Paper No./Mail Date 6/25/10 8. X Examiner's Statement of Reasons for Allowance 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material Other .

/Patricia C. Mallari/ Primary Examiner, Art Unit 3735 Application/Control Number: 10/826,567 Page 2

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#### DETAILED ACTION

### Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 25 June 2010 has been entered.

## Allowable Subject Matter

2. The following is an examiner's statement of reasons for allowance:

The prior art of record fails to anticipate or make obvious the invention of claims 33 and 35-51, including, *inter-alia*, a piezoelectric, electrostrictive, magnetostrictive, acoustooptic, and/or thermoacoustic sensor transducer element that interacts with blood in response to an applied varying signal value, in combination with all other limitations in the claim.

The prior art of record fails to anticipate or make obvious the inventions of claim 52, including, *inter-alia*, a device for analyzing blood comprising a signal driver applying a signal with a varying frequency between 1 KHz and 10 GHz to a transducer in communication with blood and a biologically active substance to determine a characteristic of the blood as a function of its response to the signal, in combination with all other limitations in the claim

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The prior art of record fails to anticipate or make obvious the inventions of claim 53, including, *inter-alia*, a device for analyzing blood comprising a signal driver applying a signal with varying frequency including resonant, antiresonant, harmonic, and/or antiharmonic frequencies of first and higher orders to a transducer in communication with blood and a biologically active substance to determine a characteristic of the blood as a function of its response to the signal, in combination with all other limitations in the claim.

The prior art of record fails to anticipate or make obvious the invention of claims 54 and 57-69, including, *inter-alia*, a blood analysis device comprising two acoustic sensors, one for analyzing the blood and the other for comparing the blood to a reference fluid, in combination with all other limitations in the claim.

The prior art of record fails to anticipate or make obvious the invention of claim 55, including, *inter-alia*, a blood analysis device coated with collagen that applies a varying frequency to determine platelet adhesion at a higher frequency and coagulation at a lower frequency, in combination with all other limitations in the claim.

The prior art of record fails to anticipate or make obvious the invention of claim 56, including, *inter-alia*, a blood analysis device coated with tissue thromboplastin that applies a varying frequency to detect blood coagulation at a lower frequency and at a higher frequency detects plasma coagulation factor concentration and/or activation, in combination with all other limitations in the claim.

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Wagner (US 4981779) and Yim (US 5047627) disclose similar blood analysis systems, as discussed in prior office actions, but neither discloses or suggests any combination of the limitations described above.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### Conclusion

 Any inquiry concerning this communication or earlier communications from the examiner should be directed to KAREN E. TOTH whose telephone number is (571)272-6824. The examiner can normally be reached on Mon thru Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Charles Marmor II can be reached on 571-272-4730. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Patricia C. Mallari/ Examiner, Art Unit 3735

/K. E. T./ Examiner, Art Unit 3735